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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/748,089
		Filing Date	December 29, 2003
		First Named Inventor	Andrei W. KONRADI
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	10	Attorney Docket Number	42837-2001021

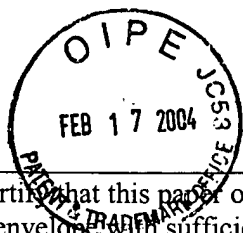
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Transmittal Form (1 pg.) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement (4 pgs.) <input checked="" type="checkbox"/> Information Disclosure Citation PTO-Form 1449 w/ 115 documents listed, but not enclosed (5 pgs.) <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Copy of Response to Notice to File Missing Parts	<input type="checkbox"/> Assignment and Recordation Cover Page <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) 1. Return postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	SWISS LAW GROUP Lorna L. Tanner (Reg.No. 50,782)	38706
Signature	<i>Lorna L. Tanner</i>	
Date	February 11, 2004	

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Name: Andrei W. Konradi

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Andrei W. KONRADI, et al.	Confirmation No.:	Unknown
Serial No.:	10/748,089	Art Unit:	Not Yet Assigned
Filed:	December 29, 2003	Examiner:	Not Yet Assigned
For:	HETEROARYL, HETEROCYCLIC AND ARYL COMPOUNDS WHICH INHIBIT LEUKOCYTE ADHESION MEDIATED BY VLA-4	Attorney Docket No.:	42837-2001021

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ A PTO-Form 1449 listing all patents, publications, applications, or other information submitted for consideration by the office.
- 1b. A legible copy of:
- ☐ Each U.S. patent application publication and U.S. and foreign patent;
 - ☐ Each publication or that portion which caused it to be listed on the PTO-1449;
 - ☐ For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or portion of the application which caused it to be listed on the PTO-1449 including any claims directed to that portion;

- ☐ all other information or portion which caused it to be listed on the PTO-1449.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or PCT International Search Report.
- 1d. ☐ Explanations of relevancy (ATTACHMENT 1(d), hereto) or English language abstracts of the non-English language publications.
2. ☒ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b):
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- ☐ Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
- ☒ Before the mailing of the first Office action on the merits;
- ☐ Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
3. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(c) after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of any of a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311 or an action that otherwise closes prosecution in the application.
- 3a. ☐ The Certification Statement in Item 5 below is applicable. Accordingly, no fee is required.
- 3b. ☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. §1.97(c) is:
- ☐ enclosed
- ☐ to be charged to Swiss Law Group LLP Deposit Account No. 50-2859.
4. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(d) after the period specified in 37 C.F.R. §1.97(c), but on or before the date of payment of the issue fee.
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The Certification Statement in Item 5 below is applicable.

5. ☐ Certification Statement (applicable if Item 3a or Item 4 is checked)
- 5a. ☐ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not

Application No. 10/748,089
Attorney Docket No. 42837-2001021

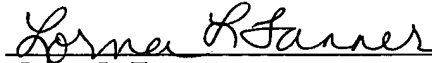
more than three months prior to the filing of this Information Disclosure Statement.

- 5b. ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not **received** by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- 5c. ☐ Pursuant to 37 C.F.R. §1.704(d), each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not **received** by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this information disclosure statement.
6. ☒ This application is a continuation application under 37 C.F.R. §1.60 or §1.53(b) or (d).
- 6a. ☐ A Petition to Withdraw from issue under 37 C.F.R. §1.313(b)(5) is concurrently filed herewith.
- 6b. ☒ Copies of publications listed on Form PTO-1449 from prior application Serial No. 10/241,442, filed on September 20, 2002, of which this application claims priority under 35 U.S.C. §120, are not being submitted pursuant to 37 C.F.R. §1.98(d).
- 6c. ☐ Copies of the publications listed on Form PTO-1449 were not previously cited in prior application Serial No. , filed on , and are provided herewith.
7. ☐ This is a Supplemental Information Disclosure Statement.
- 7a. ☐ This Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(f) supplements the Information Disclosure Statement filed on . A bona fide attempt was made to comply with 37 C.F.R. §1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on .
8. ☐ In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:
- 8a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office.
- 8b. ☐ set forth in the application.
- 8c. ☐ enclosed as an attachment hereto.
9. ☒ The Commissioner is authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement and/or Petition to Swiss Law Group Deposit Account No. 50-2859.

10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).

Respectfully submitted,

Date: **February 11, 2004**



Lorna L. Tanner

Reg. No. 50,782

SWISS LAW GROUP

Bldg. 3, Palo Alto Square

3000 El Camino Real, Ste. 100

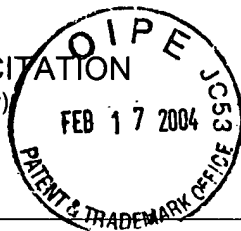
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PTO-Form 1449				ATTY DOCKET NO. 42837-2001021		APPLICATION NO 10/748,089	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANT Andrei W. KONRADI, et al.			
				FILING DATE December 29, 2003		GROUP Not yet assigned	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	4,018,913	04/19/77	Okamoto, et al. (equiv to DE 2655636)			
	2.	4,018,915	04/19/77	Okamoto, et al. (equiv to DE 2655636)			
	3.	4,036,955	07/10/77	Okamoto, et al. (equiv to DE 2655636)			
	4.	4,041,156	08/09/77	Okamoto, et al. (equiv to DE 2655636)			
	5.	4,046,876	09/06/77	Okamoto, et al. (equiv to DE 2655636)			
	6.	4,055,651	10/25/77	Okamoto, et al. (equiv to DE 2655636)			
	7.	4,055,636	10/25/77	Okamoto, et al. (equiv to DE 2655636)			
	8.	4,070,457	01/24/78	Okamoto, et al. (equiv to DE 2655636)			
	9.	4,073,914	02/14/78	Kikumoto, et al. (equiv to DE 2655636)			
	10.	4,085,057	04/18/78	Masuda, et al.			
	11.	4,096,255	06/20/78	Kikumoto, et al. (equiv to DE 2655636)			
	12.	4,104,392	08/01/78	Okamoto, et al. (equiv to DE 2655636)			
	13.	4,438,122	03/20/84	Holmwood, et al.			
	14.	4,505,910	03/19/85	Bagli			
	15.	4,518,600	05/21/85	Holmwood, et al.			
	16.	4,544,402	10/01/85	Schnurbusch, et al.			
	17.	4,559,345	12/17/85	Gomarasca, et al.			
	18.	4,672,065	06/09/87	Spatz			
	19.	4,908,368	03/13/90	Murase, et al.			
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	21.	4,992,439	02/12/91	Meanwell			
	22.	5,030,644	07/09/91	Baldwin, et al.			
	23.	5,120,734	06/09/92	Klausener, et al.			
	24.	5,238,934	08/24/93	Knuppel, et al.			
	25.	5,278,184	01/11/94	Artico, et al.			
	26.	5,510,332	04/23/96	Kogan, et al.			
	27.	5,580,868	12/03/96	Lunkenheimer, et al.			
	28.	5,770,573	06/23/98	Arrhenius, et al.			
	29.	5,814,643	09/29/98	Duggan, et al.			

INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.

42837-2001021

APPLICATION NO

10/748,089

APPLICANT

Andrei W. KONRADI, et al.

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GROUP

Not yet assigned

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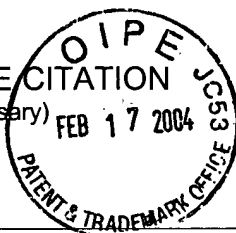
30.	5,861,429	01/19/99	Sato, et al.			
31.	5,925,644	07/20/99	Jakobi, et al.			
32.	5,942,504	08/24/99	Grobelyny			
33.	5,955,491	09/21/99	Sohda, et al.			
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36.	6,005,117	12/21/99	Wehner, et al.			
37.	2002/0052375	05/02/02	Konradi, et al.			
38.	2002/0055509	05/09/02	Konradi, et al.			
39.	2003/0144328	07/31/03	Konradi, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
40.	2,241,149	07/03/77	Canada (equiv to DE 19548709)				
41.	2,259,224	01/08/78	Canada (equiv to DE 19654483)				
42.	0 116 494	08/1984	Europe				
43.	0 147 211	07/03/93	Europe				
44.	0 288 176	10/26/88	Europe				
45.	0 330 506 A2	08/30/89	Europe				
46.	0 330 506 A3	08/30/89	Europe				
47.	0 526 348	02/03/93	Europe				
48.	0 535 521	04/07/93	Europe				
49.	19548709	07/03/97	Germany (equiv to CA 2,241,149)				
50.	19536891	04/1997	Germany				
51.	19654483	01/02/98	Germany (equiv to CA 2,259,224)				
52.	19713000	10/01/98	Germany (Abstract)				
53.	2655636	06/23/77	Germany (US equiv are all Okamoto and Kikumoto US patents listed above)				
54.	1500063	02/08/78	Great Britain (equiv to HU 169926)				
55.	169926	09/28/76	Hungary (equiv to GB 150063)				
56.	59212480	12/1984	Japan (Abstract)				
57.	92/16549	10/01/92	WIPO				
58.	93/12809	07/08/93	WIPO				
59.	96/01644	01/25/96	WIPO				

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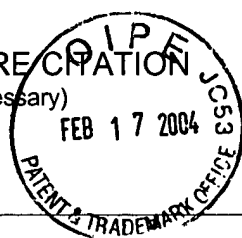
60.	96/22966	08/01/96	WIPO					
61.	96/32383	04/1997	WIPO					
62.	97/23451	07/03/97	WIPO					
63.	98/00395	01/08/98	WIPO					
64.	98/33783	12/03/98	WIPO					
65.	98/53814	12/03/98	WIPO (Abstract)					
66.	98/53817	08/06/98	WIPO					
67.	99/06431	02/11/99	WIPO					
68.	99/06432	02/11/99	WIPO					
69.	99/06433	02/11/99	WIPO					
70.	99/06390	02/11/99	WIPO					
71.	99/06391	02/11/99	WIPO					
72.	99/10312	03/04/99	WIPO					
73.	99/10313	03/04/99	WIPO					
74.	99/37605	07/29/99	WIPO					
75.	99/37618	07/29/99	WIPO					
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112.	van Dinther-Janssen, et al. "The VLA-4/VCAM-1 Pathway is Involved in Lymphocyte Adhesion to Endothelium in Rheumatoid Synovium," <i>J. Immunology.</i> 147: 4207-4210 (1991).

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Sheet 5 of 5

113.	Vedder, et al. "Role of Neutrophils in Generalized Reperfusion Injury Associated with Resuscitation from Shock," <i>Surgery</i> 106: 509-516 (1989).
114.	Yang, et al. "Inhibition of Insultitis and Prevention of Diabetes in Nonobese Diabetic Mice by Blocking L-Selectin and Very Late Antigen 4 Adhesion Receptors," <i>Proc. Natl. Acad. Sci. (USA)</i> 90: 10494-10498 (1993).
115.	Yednock, et al. "Prevention of Experimental Autoimmune Encephalomyelitis by Antibodies Against $\alpha 4 \beta 1$ Integrin," <i>Nature</i> 356: 63-66 (1992).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with **MPEP 609**; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.